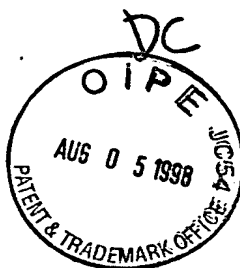


DOCKET NO.: CARP-0057



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Adair et al.

Serial No.: 08/846,658

Group Art Unit: 1642

Filing Date: May 1, 1907

Examiner: J. Reeves

For: Humanised Antibodies

DATE OF DEPOSIT: August 3, 1998

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
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FOR PATENTS, WASHINGTON, DC 20231.

Doreen Yatro Trujillo  
TYPED NAME: Doreen Yatro Trujillo  
REGISTRATION NO.: 35,719

Box ☐ NON-FEE  
☐ AF

BOX SEQUENCE

Assistant Commissioner for Patents  
Washington DC 20231

RECEIVED  
AUG 7 1998  
GROUP 1800

Sir:

AMENDMENT TRANSMITTAL LETTER

Transmitted herewith for filing in the above-identified patent application is:

☒ A Third Supplemental Preliminary Amendment Responsive to the Notice to Comply  
With Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino  
Acid Sequence Disclosures Dated July 1, 1998.

☐ An Amendment Supplemental to the Paper filed \_\_\_\_\_.

☒ Other: Replacement Paper Copy of the Sequence Listing; Replacement Diskette Containing the Sequence Listing; and Statement to Support Filing and Submission in Accordance with 37 CFR §§ 1.821 Through 1.825.

☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 was established in a previous submission.

☐ A Statement Claiming Small Entity Status under 37 C.F.R. 1.9 and 1.27 is enclosed.

☐ This application is no longer entitled to small entity status. It is requested that this be noted in the files of the Patent and Trademark Office.

☒ Replacement Pages 67 - 92 of the Specification are enclosed.

☐ An Abstract is enclosed.

☐ \_\_\_\_\_ Sheets of Proposed Corrected Drawings are enclosed.

☐ A Certified Copy of each of the following applications: \_\_\_\_\_  
\_\_\_\_\_ is enclosed.

☐ An Associate Power of Attorney is enclosed.

☐ Information Disclosure Statement.

☐ Attached Form 1449.

☐ A copy of each reference as listed on the attached Form PTO-1449 is enclosed herewith.

☐ Appended Material as follows: \_\_\_\_\_

☐ Other Material as follows: \_\_\_\_\_

## FEE CALCULATION

☒ No Additional Fee is Due.

				SMALL ENTITY		NOT SMALL ENTITY	
	REMAINING AFTER AMENDMENT	HIGHEST PAID FOR	EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	8	20 (20 MINIMUM)	0	\$11 EACH	\$	\$22 EACH	\$0
INDEP. CLAIMS	2	3 (3 MINIMUM)	0	\$41 EACH	\$	\$82 EACH	\$0
FIRST PRESENTATION OF MULTIPLE DEPENDENT				\$135	\$	\$270	\$0
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME				\$55	\$	\$110	\$0
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME				\$200	\$	\$400	\$0
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME				\$475	\$	\$950	\$0
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME				\$755	\$	\$1510	\$0
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME				\$1030	\$	\$2060	\$0
<input type="checkbox"/> LESS ANY EXTENSION FEE ALREADY PAID				minus	(\$ )	minus	(\$ 0 )
<input type="checkbox"/> TERMINAL DISCLAIMER				\$55	\$	\$110	\$0
<input type="checkbox"/> OTHER FEE OR SURCHARGE AS FOLLOWS:							
TOTAL FEE DUE							0.0

☐ A Check is Enclosed in the Foregoing Amount Due.

☐ Petition is hereby made under 37 C.F.R. 1.136(a) to extend the time for response to the Office Action of @@ to and through @@ comprising an extension of the shortened statutory period of @@ month(s).

- ☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.
- ☒ The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate.
- ☐ The Foregoing Amount Due for Filing this Paper.
- ☒ Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.
- ☒ Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).

**SHOULD ANY DEFICIENCIES APPEAR** with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

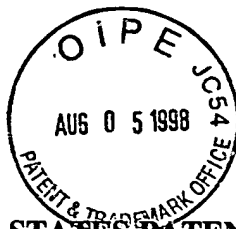
Date: *August 3, 1998*

*Doreen Yatko Trujillo*  
Doreen Yatko Trujillo  
Registration No. 35,719

Woodcock Washburn Kurtz  
Mackiewicz & Norris LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: **Adair et al.**

Serial No.: **08/846,658**

Group No.: **1642**

Filed: **May 1, 1997**

Examiner: **J. Reeves**

For: **Humanised Antibodies**

I, **Doreen Yatko Trujillo**, Registration No. **35,179** certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

On August 3, 1998  
*Doreen Yatko Trujillo*  
Doreen Yatko Trujillo Reg. No. 35,179

**BOX SEQUENCE**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

**THIRD SUPPLEMENTAL PRELIMINARY AMENDMENT**

Pursuant to 37 C.F.R. § 1.115, please amend the above-identified application as a follows.

**In the specification:**

At page 23, line 21, insert --(SEQ ID NO: 4 and 5)--between "chain" and ";".

At page 23, line 23, insert --(SEQ ID NO: 6 and 7)--between "chain" and ";".

At page 23, line 26, insert --(SEQ ID NO: 29, 8 and 9)--between "REI" and ";".

At page 23, line 29, insert --(SEQ ID NO: 30 and 10) -- between "KOL" and ";".

At page 23, line 32, insert --(SEQ ID NO: 30 and 11-24) -- between "grafts" and

",".

At page 23, line 35, insert --(SEQ ID NO: 29, 8, 9, and 25-28) -- between "grafts"

and ";".